



CTPAT Security Questionnaire

Dear Business Partner,

We are a certified member of the U.S. Customs Trade Partnership against Terrorism (CTPAT) Program. This program is designed to protect the supply chain against terrorism.

As required please fill out our annual questionnaire asking all of our valued business partners to assess their security practices and to implement and maintain a security policy consistent with the guidelines recommended by U.S. Customs and Border Protection or maintain a certified Mutual Recognition Arrangements (MRA) program that is equivalent to CTPAT.

Further Reference: <https://www.cbp.gov/border-security/ports-entry/cargo-security/c-tpat-customs-trade-partnership-against-terrorism/mutual-recognition>

Please complete the Security Questionnaire below.

*Today's Date	<input type="text"/>	
*Company Name	<input type="text"/>	
*Company Address	<input type="text"/>	
Company Address 2	<input type="text"/>	
*City	<input type="text"/>	*State/Prov. <input type="text"/>
*Postal Code	<input type="text"/>	
*Country	<input type="text"/>	
*Your Name	<input type="text"/>	Your Title <input type="text"/>
*Email	<input type="text"/>	
*Phone Number	<input type="text"/>	
Fax	<input type="text"/>	

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PARTICIPATION

There are many different active security initiatives. Please check any of the following that your company participates in:

- Customs-Trade Partnership Against Terrorism (CTPAT)
- Carrier Initiative Program (CIP)
- Super Carrier Initiative program (SCIP)
- Business Anti-Smuggling Coalition (BASC)
- International Ship and Port Facility Security (ISPS)
- Maritime Transportation Security Act (MTSA)
- Partners IN Protection (PIP)
- Authorized Economic Operator (AEO)
- Other

If Other, please specify

*CTPAT or Other governmental accredited Certification Status: (Choose one)

- Accepted CTPAT Program
- CTPAT Application in progress
- @ No CTPAT/AEO/MRA Certification
- Accepted AEO or other equivalent MRA security program

Procedural Security

*1) Do you have a designated security officer?

QYes No

*2) Do you have procedures in place for ensuring proper marking, weighing, counting, and documenting of cargo?

Yes No N/A

*3) Do you have procedures in place for detecting, documenting, and reporting cargo overages and shortages?

Yes No N/A

*4) Do you have procedures in place for verifying seals on containers and trailers and to verify the physical and structural integrity of containers prior to loading?

QYes QNo QN/A

*5) Do you have procedures in place for the tracking of timely movement of incoming/outgoing goods?

QYes No ON/A

*6) Do you have procedures in place for the secure storage of empty and full containers that prevent unauthorized access?

QYes QNo QN/A

*7) Do you have procedures in place for investigating a security breach and notifying law enforcement agencies in the case that inconsistencies, anomalies or illegal activities are detected or suspected?

QYes No

*8.) Do you have a Code of Conduct in place so employees and contractors maintain standards that are acceptable to the company, customers, and other business partners?

QYes No



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Physical Security

*1) Are your buildings and cargo areas (if applicable) constructed from materials which resist unlawful entry and protect from intrusion?

Q Yes No

*2) Is cargo stored outside in the open (i.e. not fenced in or in locked/ sealed containers)?

Q Yes No ON/A

*3) If cargo is stored outside, are there exterior perimeter fences?

Q Yes No ON/A

*4) Are there locking devices on internal/external doors, windows, and any fences?

Q Yes No

*5) Do you have adequate interior/exterior lighting?

Q Yes No

*6) Are all gates through which vehicles and/or personnel enter and exit manned or monitored at all times?

Q Yes No ON/A

*7) Is international, domestic, high-value, and hazardous merchandise segregated within the warehouses?

Q Yes No ON/A

*8) While in transit, is the vehicle and cargo monitored or locked or sealed at all times, including overnight stops?

Q Yes No ON/A

*9) Are vehicles equipped with anti-theft devices or tracking units?

Q Yes No ON/A

Access Controls

*1) Do you provide means of identification for all employees and authorized visitors?

Q Yes No

*2) Is there a log of all visitors to your facility/facilities?

Q Yes No

*3) Do you have procedures for challenging all unauthorized and/or unidentified people and entities?

Q Yes No

*4) Are unauthorized persons promptly removed from your premises?

Q Yes No

Personnel Security

*1) Do you conduct pre-employment screening (i.e. criminal background checks)?

Q Yes No

*2) Are periodic checks and reinvestigations performed based on cause and/or sensitivity of the employee's position?

Q Yes No

*3) Do you verify employee applications/references?

Q Yes No



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*4) Do you have procedures in place to immediately remove all access controls to premises and IT Systems from employees upon termination?

QYes No

Security Training, Awareness and Education

*1) Are all employees trained on your security procedures?

QYes No

*2) Are employees trained to maintain cargo integrity?

QYes No ON/A

*3) Are employees trained to determine if unauthorized access to controlled areas has occurred and address such a situation?

QYes No ON/A

*4) Do you have a program that will encourage or reward employees for reporting anomalies, conspiracies, and wrong doing?

QYes No

Container Inspection and Management Process

*1) Do you utilize the 7/8-Point container inspection process when handling containers?

QYes No ON/A

*2) Do you utilize the 17/18-Point container inspection process when loading trucks and trailers?

QYes No ON/A

*3) Do you make sure all containers are sealed with CTPAT Approved ISO 17712:2013 Certified Seals?

QYes No ON/A

IT and Cybersecurity

*1) Is there a formal information security program in place?

QYes No

*2) Do you have a formal IT disaster recovery plan in place for theft, flood, natural disasters, etc.?

QYes No

*3) Are there procedures in place to ensure the organization does not have any viruses, malware, unauthorized or illegal/bootleg software installed on the network?

QYes No

*4) Does your organization back up electronic data?

QYes No

*S) Does your organization properly encrypt and protect personal information?

QYes No

Agricultural Security

*1) Do you inspect for pests in agricultural cargo and when stuffing containers?

QYes No ON/A



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*2) Do you have a process for holding and sanitizing a conveyance in which pests or mold has been found?

Yes No N/A

*3) Do you move raw wood or firewood?

Yes No

Money Laundering, Terrorism Financing, and Management Support

*1.) Does your company have policies and procedures in place to combat money laundering, terrorist financing, and sanctions violations?

Yes No

*2) Does your company have policies to ensure that reasonable measures are taken to ensure that your company does not knowingly transact business with sanctioned individuals, entities or countries with which transacting is prohibited by law?

Yes No

*3.) Is there an established method at your company for reporting suspicious financial activities or financial transactions to the appropriate authorities?

Yes No

*4.) Our management, at the highest levels, is committed to a safe and secure global supply chain.

Yes No

*S.) Bribery, money laundering, and human trafficking is strictly forbidden by our management and at every level in our company.

Yes No

Additional information or comments you would like to share with us:

Declaration from SUP-Rlier:

*

Check here to indicate you will download, review, and follow the CTPAT SOPs included with this questionnaire.

I certify that all information provided is true and accurate to the best of my knowledge. I am an authorized representative for the company named above.

*Name

*Job Function

*Date

Signature

No E-signature yet.